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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

140

Application Number

1

Filing Date

October 22, 2001

First Named Inventor

Thomas A. Keim

Group Art Unit

2838

Examiner Name

Not Yet Assigned

Attorney Docket Number

MIT-075AUS

### ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) \_\_\_\_\_

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

Return-receipt postcard

PTO for 1449 w/20 refs

Remarks

In the event a petition for extension of time is required by this paper and not otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 50-0845 for the cost of such extension.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Barry Gaiman

Reg. No. 42,562

Daly, Crowley & Mofford, LLP

Signature

*Barry Gaiman*

Date

5/14/02

### CERTIFICATE OF MAILING

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Thomas A. Keim, et al.  
Appln. No.: 10/080,819  
Filed : October 22, 2001  
Entitled : ALTERNATOR CONTROL CIRCUIT  
AND RELATED TECHNIQUES  
Docket : MIT-075AUS

Group Art Unit: Not 2838

Examiner: Not Yet assigned

Certificate of Mailing (37 C.F.R. 1.8(a))

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Date of Signature  
and Mail Deposit

By:

Barry Gaiman

Barry Gaiman  
Reg. No. 42,562  
Attorney for Applicant(s)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

(1) The enclosed Information Disclosure Statement is being filed: within three months of the filing date; or within three months of the entry of the national stage of the above-identified application; or before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing

**of a request for continued examination under 37 C.F.R. §1.114. Accordingly,**

**Applicant(s) believes that no fee or statement is required.**

[ ] (1a) Applicant(s) believe the enclosed Information Disclosure Statement is entitled to the benefit of 37 C.F.R. § 1.97 (b) (3). Accordingly, Applicant(s) believe that no fee or statement is required.

[ ] (1b) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:

[ ] a statement under 37 C.F.R. § 1.97(e); or [ ] the fee set forth in § 1.17(p).

**PETITION UNDER 37 C.F.R. § 1.97(d)**

[ ] (2) Pursuant to 37 C.F.R. § 1.97(d), Applicant(s) hereby petition the Assistant Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state that the issue fee has not been paid and that a statement under 37 C.F.R. § 1.97(e) is provided herein, along with the petition fee required under 37 C.F.R. § 1.17(i).

**STATEMENT UNDER 37 C.F.R. § 1.97(e) (1)**

[ ] (3) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

**STATEMENT UNDER 37 C.F.R. § 1.97 (e) (2)**

[ ] (4) The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained

in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, Applicant(s) does not necessarily adopt the position reflected by that report.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845.

Respectfully submitted,

DALY, CROWLEY & MOFFORD, LLP

By: Barry Gaiman  
Barry Gaiman  
Reg. No. 42,562  
Attorney for Applicant(s)

Dated: 5/14/02  
275 Turnpike Street – Suite 101  
Canton, MA 02021-2310  
Telephone: (781) 401-9988  
Facsimile: (781) 401-9966





Form PTO-1449 (Rev. 8-83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. <b>MIT-075AUS</b>	APPLICATION NO. <b>10/080,819</b>
INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>		APPLICANT <b>Thomas A. Keim, et al.</b>	
		FILING DATE <b>October 22, 2001</b>	GROUP <b>2838</b>

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)**

**Date Considered:**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and considered. Include copy of this form with next communication to applicant.